

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
6234-16-DCLIn Re Application Of: **Hartmut Schmidt et al.**Serial No.
Not yet assignedFiling Date
December 3, 2001Examiner
Not yet assignedGroup Art Unit
Not yet assignedTitle: **ENERGY-RICH FEED FLAKES FOR FISH AND INVERTEBRATES, AS WELL AS PROCESS FOR THE PRODUCTION**Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

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ENERGY-RICH FEED FLAKES FOR FISH AND INVERTEBRATES, AS WELL AS PROCESS FOR PRODUCTION

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-0450 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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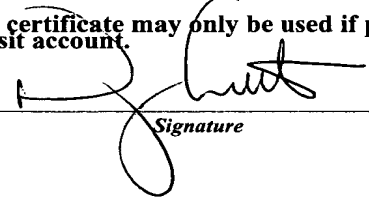
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Signature

Dated: 12/3/01

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Hartmut Schmidt et al.**

Docket No.

6234-16-DCLSerial No.
Not yet assignedFiling Date
December 3, 2001Examiner
Not yet assignedGroup Art Unit
Not yet assignedInvention: **ENERGY-RICH FEED FLAKES FOR RISH AND INVERTEBRATES, AS WELL AS PROCESS FOR THE PRODUCTION**I hereby certify that this **Trans. Ltr. Designated/Elected Office for filing**

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20231-0001 on **December 3, 2001**

(Date)

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Docket No.: 6234-16-DCL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hartmut Schmidt et al.

Serial No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: December 3, 2001

Examiner: Not yet assigned

For: ENERGY-RICH FEED FLAKES FOR FISH AND INVERTEBRATES, AS WELL AS
PROCESS FOR THE PRODUCTION

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449 submitted in accordance with 37 C.F.R. §§ 1.98 (a) (1) and 1.98 (b). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney or agent of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

CERTIFICATION PURSUANT TO 37 C.F.R. SECTION 1.10

I hereby certify that this Preliminary Amendment is being deposited with the United States Postal Service on this date 12/3/01 in an envelope bearing "Express Mail Post Office to Addressee" Mailing Number EL 819 324 892 415 addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Christine Cienia
(typed or printed name of person mailing)

Christine Cienia
(Signature of person mailing)

In accordance with 37 C.F.R. § 1.98 (a) (2), unless otherwise indicated herein, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Because this Information Disclosure Statement is being submitted under 37 C.F.R. 1.97(b), no fee is believed to be required. However, should any additional fees be required to process this submission, please charge such fees to Deposit Account No.: 23-0450.

Date:

Respectfully submitted,



Darryl C. Little

Reg. No. 40,703

Attorney For Applicant

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Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF (Rev. 2-32) COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 6234-16-DCL	SERIAL NO. Not yet assigned
	APPLICANT Hartmut Schmidt et al.	
	FILING DATE December 3, 2001	GROUP Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4 6 9 6 6 3 4	09/29/87	Zeller			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
9 8 2 5 4 7 8	06/18/98	PCT				
2 9 9 1 0 3 22	06/14/99	German Abstract				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ANY COPIES OF DOCUMENTS LISTED ON THIS FORM PTO-1449 SHOULD HAVE CERTAIN INFORMATION PLACED ALONG THE LEFT SIDE OF THE DOCUMENT. INFORMATION SUCH AS DOCKET NUMBER, FILING DATE, SERIAL NUMBER, ART UNIT, ETC.